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CERTIFICATE OF EFS FILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below date:

Date: January 24, 2011 Name: Rickard K. DeMille, Reg. No. 58,471 Signature: /Rickard K. DeMille/

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Pascal J. Gauthereon et al.

Appln. No.: 10/562,185

Filed: February 1, 2007

For: SYSTEM AND METHOD OF INVESTING FUNDS

Attorney Docket No: 10022-802

Examiner: Donlon, Ryan D.

Art Unit: 3695

Confirmation No.: 3864

**TRANSMITTAL**

Mail Stop AF  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

**Attached is/are:**

General Transmittal (1p) Response to November 22 Office Action (14p).

**Fee calculation:**

No additional fee is required.  
 Small Entity.  
 An extension fee in an amount of \$\_\_\_\_ for a \_\_\_\_-month extension of time under 37 CFR § 1.136(a).  
 A petition or processing fee in an amount of \$\_\_\_\_ under 37 CFR § 1.17(p).  
 An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Not a Small Entity	
					Rate	Add'l Fee	OR	Rate
Total		Minus			x \$26=			x \$52=
Indep.		Minus			x 110=			x \$220=
First Presentation of Multiple Dep. Claim					+\$195=			+\$390=
					Total	\$	Total	\$

**Fee payment:**

Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_ for \_\_\_\_.  
 Payment by credit card in the amount of \$\_\_\_\_ (Form PTO-2038 is attached).  
 The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

January 24, 2011  
Date

/Rickard K. DeMille/  
Rickard K. DeMille (Reg. No. 58,471)